



# Audit, Governance & Standards Committee

Thu 22 Sep  
2016  
7.00 pm

Committee Room 2  
Town Hall  
Redditch

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**If you have any queries on this Agenda or any of the decisions taken or wish to exercise any of the above rights of access to information, please contact**

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Thursday, 22nd September 2016

7.00 pm

## Committee

Committee Room 2, Town Hall

### Agenda

#### Membership:

Cllrs:	David Thain (Chair) Jane Potter (Vice-Chair) Tom Baker-Price Natalie Brookes Michael Chalk	Andrew Fry Mark Shurmer Rachael Smith Pat Witherspoon
Independent Member:	Dave Jones (non-voting co-opted – for Audit and Governance)	
Feckenham Parish Council Representative:	Alan Smith (non-voting co-opted – for Standards)	

<p><b>1. Apologies and named Substitutes</b></p>	<p>To receive the apologies for absence and details of any Councillor nominated to attend the meeting in place of a member of the Committee.</p>
<p><b>2. Declarations of Interest</b></p>	<p>To invite Councillors to declare any Disclosable Pecuniary Interests and/or Other Disclosable Interests they may have in items on the agenda, and to confirm the nature of those interests.</p>
<p><b>3. Minutes</b> (Pages 1 - 8)</p>	<p>To confirm as a correct record the minutes of the meeting of the Audit, Governance and Standards Committee held on 7th July 2016.</p> <p>(Minutes attached)</p>
<p><b>4. Monitoring Officer's Report - Standards Regime</b> (Pages 9 - 12) Head of Legal, Equalities and Democratic Services</p>	<p>To receive a report from the Monitoring Officer, together with any oral updates from the Feckenham Parish Council Representative, on any standards regime matters of relevance to the Committee.</p> <p>(Report attached)</p> <p><b>(No Direct Ward Relevance)</b></p>

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<p><b>5. Grant Thornton - Audit Findings Report 2015/16</b> (Pages 13 - 14)</p>	<p>To present Members with Grant Thornton's Audit Findings Report in relation to the final accounts for 2015/16.</p> <p>(Report attached – appendices to follow)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>6. Statement of Accounts 2015/16</b> (Pages 15 - 18) Executive Director, Finance and Resources</p>	<p>To approve the Statement of Accounts for 2015/16.</p> <p>(Report attached – appendix to follow)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>7. Internal Audit - Progress Report</b> (Pages 19 - 58)</p>	<p>To present a progress report of internal audit work for 2016/17 and the residual 2015/16 audit work.</p> <p>(Report attached)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>8. Re-appointment of Lead Fraud and Risk Members on the Committee</b> Chief Executive</p>	<p>Members are asked to consider whether they wish to re-appoint to the roles of Lead Fraud Member and Lead Risk Member on the Committee. These positions are currently held by Councillor David Thain and Mr Dave Jones, Independent Member for Audit and Governance, respectively.</p> <p>Should the Committee determine that it wishes to re-appoint to these roles then consideration should also be given as to the length of any such appointments, bearing in mind that there are no local elections taking place in 2017.</p> <p>(Oral report)</p> <p><b>(No Direct Ward Relevance)</b></p>

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<p><b>9. S11 Recommendations Update</b></p> <p>(Pages 59 - 62)</p> <p>Executive Director, Finance and Resources</p>	<p>To present Members with the latest progress update on the Section 11 recommendations which were first noted by the Committee on 28th January 2016.</p> <p>(Report attached)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>10. Corporate Governance and Risk</b></p> <p>(Pages 63 - 72)</p> <p>Executive Director, Finance and Resources</p>	<p>To consider the 6 monthly review of the Corporate Risk Register.</p> <p>(Report attached)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>11. April - June Financial Savings Monitoring Report 2016/17</b></p> <p>(Pages 73 - 76)</p> <p>Executive Director, Finance and Resources</p>	<p>To report on the monitoring of savings for 2016/17, including the delivery of savings and additional income for the period April to June 2016.</p> <p>(Report attached)</p> <p><b>(No Direct Ward Relevance)</b></p>
<p><b>12. Committee Action List and Work Programme</b></p> <p>(Pages 77 - 82)</p> <p>Chief Executive</p>	<p>To consider the Audit, Governance and Standards Committee's Action List and Work Programme.</p> <p>(Action List and Work Programme attached)</p> <p><b>(No Direct Ward Relevance)</b></p>

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## 13. Exclusion of the Public

Should it prove necessary, in the opinion of the Chief Executive, to exclude the public from the meeting at any point during the proceedings in relation to any item(s) of business on the grounds that either exempt and/or confidential information is likely to be divulged, the following resolution(s) will be moved:

"That under Section 100 I of the Local Government Act 1972, as amended, it/they involve the likely disclosure of exempt information as defined in Part I of Schedule 12A to the Act, as amended, the relevant paragraphs of that part being (*...to be specified by the Chairman at the meeting*), and that it is in the public interest to do so.", and/or

"That under Section 100 A of the Local Government Act 1972, as amended, it/they involve the likely disclosure of confidential information which would be in breach of an obligation of confidence."

**The paragraphs under Part 1 of Schedule 12A to the Act are as follows:**

**Subject to the "public interest" test, information relating to:**

- **Para 1 – any individual;**
- **Para 2 – the identity of any individual;**
- **Para 3 – financial or business affairs;**
- **Para 4 – labour relations matters;**
- **Para 5 – legal professional privilege;**
- **Para 6 – a notice, order or direction;**
- **Para 7 – the prevention, investigation or prosecution of crime**

**may need to be considered as 'exempt'.**