

**CORPORATE GOVERNANCE AND RISK**

Relevant Portfolio Holder	Councillor John Fisher
Portfolio Holder Consulted	No
Relevant Head of Service	Jayne Pickering – Executive Director Finance and Resources
Ward(s) Affected	All Wards
Ward Councillor(s) Consulted	No

**1. SUMMARY OF PROPOSALS**

- 1.1 For Members to consider the draft Corporate Risk Register for 2017/18.

**2. RECOMMENDATIONS**

- 2.1 **The Committee is asked to asked to:**

2.1.1 consider the draft register and propose any further risks to be included

**3. KEY ISSUES**

**Financial Implications**

- 3.1 There are no financial implications in relation to the development of the register or the associated Governance updates.

**Legal Implications**

- 3.2 The Council operates within a number of statutory Governance regulations and the Corporate Risk Register demonstrates how the Council will address and mitigate risks associated with the delivery of the Councils Strategic Purposes. The Annual Governance Statement details the ways that the Council operates within both the statutory and general good governance framework.

**Service / Operational Implications**

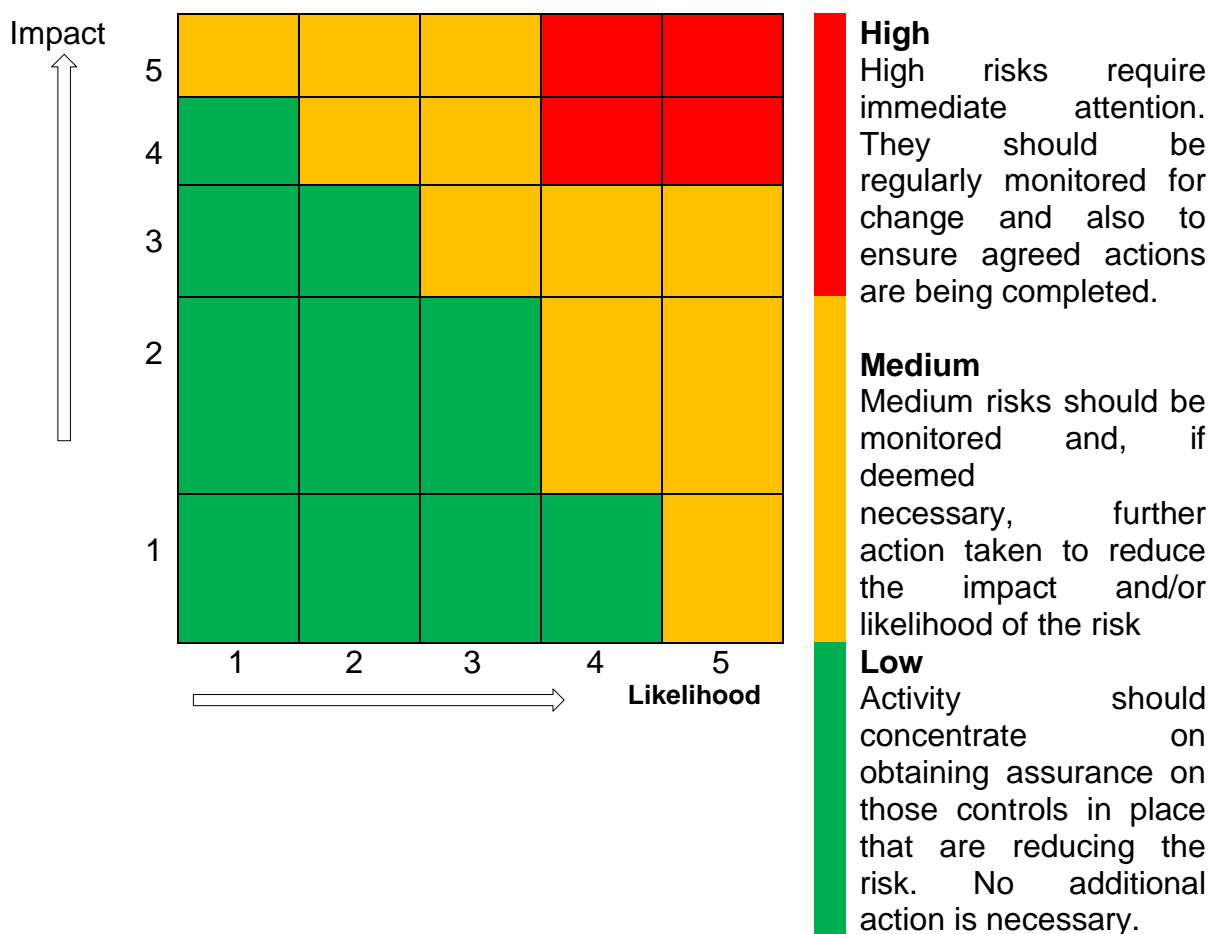
**Corporate Risk Register**

- 3.3 The Corporate Risk Register has been developed by the management team to address issues that are of a strategic nature and are seen as areas that have potential to impact on the delivery of the Strategic Purposes. The register attached at Appendix 1 is the draft 2017/18 register to enable members to be aware of corporate risks within the Council and uses the Red/ Amber / Green Scoring Mechanism to assess the risk associated with the issue and details both the controls and mitigating actions that are in place to reduce the risk to the organisation.

3.4 The scoring mechanism is shown in the table below and the Impact Scoring Criteria is attached at Appendix 2:

**Risk scoring matrix**

The risk scoring matrix reflects the Councils' current appetite / tolerance to risk. This risk tolerance should be reviewed at least annually as part of the formal refresh of risk management. There are three risk classification (low, medium and high) and these are based on the impact and likelihood values that are given to each risk. The risk matrix below illustrates how risks are classified. Officers are currently reviewing the risk appetite / tolerance and the outcome of any revised proposals will be presented to this Committee later in the year.



3.5 Members are asked to consider the draft register and make any proposed changes or additions to be monitored on a 6 monthly basis by this Committee.

**3.6 Other Corporate Governance Issues**

The Annual Governance Statement as included in the Statement of Accounts refers to the Internal Audit reports that have been assessed as limited in their assurance level. For 2016/17 these were;

- Contracts – post contract appraisals
- Performance Measures

- Risk Management
- Housing Capital Programme
- Community Centres
- Allotments.

There are clear action plans in place in relation to all of the above to ensure that improvements can be seen at the next audit review. The recommendations regarding post contract appraisals were brought to the last meeting of this Committee and officers are working with the interim contracts manager to put more robust contracting arrangements in place. Further work is required to embed risk management throughout the organisation with the outcomes now being monitored by the Executive Director - Finance and Resource. Actions include development of a new risk management strategy together with improvements to reporting of the Corporate Risk Register to this Committee.

### **Customer / Equalities and Diversity Implications**

- 3.7 By promoting good governance the Council ensures that all of its residents and communities have a consistent standard of service and opportunities.

## **4. RISK MANAGEMENT**

- 4.1 The Corporate Risk Register provides a framework for risks to be addressed and mitigated in relation to the delivery of the Councils Strategic Purposes. There have been a number of improvements recommended by Internal Audit to strengthen the risk management arrangements and the member review of the corporate register will support one of the recommendations.

## **5. APPENDICES**

Appendix 1 - Draft Corporate Risk Register 2017/18  
Appendix 2 - Impact scoring criteria

## **6. BACKGROUND PAPERS**

Departmental risk registers.

## **AUTHOR OF REPORT**

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