

**Audit, Governance & Standards
Committee
2022**

14th April

GRANT THORNTON – INFORMING THE RISK ASSESSMENT 2020-2021

Relevant Portfolio Holder	Councillor Mike Rouse - Portfolio Holder for Finance and Enabling
Portfolio Holder Consulted	-
Relevant Head of Service	Peter Carpenter – Head of Finance and Customer Services
Report Author Peter Carpenter	Job Title: Head of Finance and Customer Services Contact email: peter.carpenter@bromsgroveandredditch.gov.uk
Wards Affected	All Wards
Ward Councillor(s) consulted	No
Relevant Strategic Purpose(s)	An effective and sustainable Council
Non-Key Decision	
If you have any questions about this report, please contact the report author in advance of the meeting.	

1. RECOMMENDATIONS

The Committee is asked to note the report and management responses and comment on if those responses are consistent with their understanding on the control regime at the Council.

2. BACKGROUND

3. FINANCIAL IMPLICATIONS

- 3.1 There are no financial implications as a direct result of this report however robust internal financial control mechanisms as confirmed within this report reduce the costs associated with fraud and inaccurate accounting arrangements.

4. LEGAL IMPLICATIONS

- 4.1 Grant Thornton have a responsibility to ensure that robust systems are in place together with proactive communications with those charged with Governance.

5. STRATEGIC PURPOSES - IMPLICATIONS

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Relevant Strategic Purpose

- 5.1 The issues detailed in this report help to ensure that there is an effective and sustainable Council.

Climate Change Implications

- 5.2 There are no Climate Change implications arising out of this report.

6. OTHER IMPLICATIONS

Equalities and Diversity Implications

- 6.1 There are no Equality and Diversity implications arising out of this report.

Operational Implications

- 6.2 This report includes a series of questions on each of these areas and the response that Grant Thornton have received from Redditch Council's management. The Audit, Governance and Standards Committee should consider whether these responses are consistent with its understanding and whether there are any further comments it wishes to make.

7. RISK MANAGEMENT

- 7.1 As part of all audit work the auditors undertake a risk assessment to ensure that adequate controls are in place within the Council so reliance can be placed on internal systems.

8. APPENDICES and BACKGROUND PAPERS

Appendix 1 – Grant Thornton Informing the Risk Assessment report for 2020-2021

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9. REPORT SIGN OFF

Department	Name and Job Title	Date
Portfolio Holder	Councillor Mike Rouse - Portfolio Holder for Finance and Enabling	
Lead Director / Head of Service	Peter Carpenter – Head of Finance and Customer Services	April 2022
Financial Services	Peter Carpenter – Head of Finance and Customer Services	April 2022
Legal Services	N/A	
Policy Team (if equalities implications apply)	N/A	
Climate Change Officer (if climate change implications apply)	N/A	